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Augmentation of a Southern Hemisphere Deep Space Bistatic Radar with Small Optical Systems to Detect Near Earth and other Space Objects.

Authors:

Ed Kruzins^{1,2}, Timothy Bateman¹, Lance Benner³ Russell Boyce¹ Melrose Brown¹, Sam Darwell¹, Phil Edwards², Lauren Elizabeth-Glina¹, Jon Giorgini³, Shinji Horiuchi², Andrew Lambert¹, Joe Lazio³, Guifre Molera Calves⁴, Edwin Peters¹, Chris Phillips², Jamie Stevens², Jai Vennick¹

¹ *University of New South Wales,*

School of Engineering and Information Technology, Canberra Space.

² *Commonwealth Scientific Industrial Research Organisation.*

³ *Jet Propulsion Laboratory, California Institute of Technology.*

⁴ *University of Tasmania*

Abstract

We describe development of a southern hemisphere bistatic radar synchronised with a modest optical system to detect near-Earth asteroids and objects (NEO's). For many years, space agencies and institutions have utilised high gain antennas and optical telescopes in the northern hemisphere (GSSR, Arecibo, Catalina, Pan-STARRS, Atlas and Linear) [1] to track and observe asteroids. A regular operational system to monitor the southern skies where a percentage of asteroids and various human made objects are uniquely detectable from Australia's geographic location, is desirable.

To fill that gap we report on research, synchronising Doppler-compensated radio frequency bistatic radar transmissions at 2.114 GHz (14.2cm) and 7.15945 GHz (4.2cm) from the Southern Hemisphere Asteroid Radar Program (SHARP) [2] with a small aperture wide field optical system being developed at UNSW.

Using modest optical apertures research is progressing into the threshold and feasibility of combined optical/RF asteroid detections. Whilst sub-metre class optical instruments have contributed strongly to asteroid detection over decades, the use of these smaller 0.3-0.5m instruments, synchronized to asteroid radars, could offer a cost and availability benefit, while freeing up larger optical systems [3] that are often dedicated to other forms of professional optical astronomy.

The Southern Hemisphere Asteroid Radar Program (SHARP) uses available antenna time on either a 70m or 34m beam waveguide antenna located at the Canberra Deep Space Communication Complex (CDSCC) to transmit a Doppler compensated continuous wave (CW) at 20kW toward the NEO and receive its echoes at the 64m Parkes or 6x22m Australia Telescope Compact Array (ATCA) antennas at Narrabri (NSW). The southern hemisphere program has also recently been joined by the 12m University of Tasmania antennas at Hobart (Tasmania) and Katherine (Northern Territory).

Augmenting the SHARP southern hemisphere bistatic radar capability with sub-metre class optical instruments offers a cueing and tracking value to observations when there is a large orbital uncertainty. Since 2015, SHARP has illuminated and tracked over 30 NEO's including human made objects ranging in diameter from 20m to 5000m at ranges of 0.1 to 18 lunar distances (LD) from Australia.

Introduction

For many years, radar antennas in the northern hemisphere have been used to track and observe Solar System objects. Radar observations have evolved planetary science and have been applied to planetary surface research [4]. Radar has also been used to characterise water ice at the poles of Mercury [5] and polar ice surface features on Mars [6]. Extending the range, observations have been made of the icy nature of the moons of the gas giants [7] as well as the surface of Saturn's moon Titan [8]

Extensions of planetary radar work has led to observations of near-Earth asteroids and objects (NEOs) with several clear motivations:

1. Asteroids represent primitive remnants of the early solar system and observations offer insights into their evolution and parent populations.
2. It is essential to assess the extent to which asteroids might represent a hazard to Earth infrastructures in space (satellites) and on ground.
3. Asteroids may represent targets for exploration by spacecraft.
4. Research methods developed for asteroids may be translatable to improve space situation and domain awareness of resident space objects.

Up to 2015 planetary radar work had predominantly been performed by northern hemisphere facilities such as Arecibo (Puerto Rico) and the Goldstone Solar System Radar (GSSR) which have contributed strongly to the understanding of asteroids. The addition of southern hemisphere observations is a next logical step.

The performance of planetary radar systems was assessed by [9,10,11] indicating that geographically distributed radar facilities can reduce uncertainties in asteroid orbit determination and increase precision in threat assessments. It was also noted [12,13] that by extending radar observations to include southern hemisphere latitudes, radar facilities could:

- a. Double the number of asteroids detected
- b. Improve determinations of asteroid characteristics (diameter, mass, rotation and surface)
- c. Improve estimates of pole directions by contiguous observation from northern to southern hemisphere (or vice versa).
- d. Improve determination of signal-to-noise ratio variation as the asteroid transits from a northern or southern hemisphere view-point.

- e. A fraction of asteroids rising from the southern hemisphere may have passed Earth by the time they can be detected from a northern latitude.

Through simulations [10,11] it was concluded that there is a small, but non-zero, fraction of NEO's are not observable from the northern hemisphere.

Near Earth Asteroid Detection from Southern Hemisphere since 2015

In 2015 the Southern Hemisphere Asteroid Radar Program (SHARP) [2] began its first radar observations using available antenna time on the 70m and 34m beam waveguide antennas located at the Canberra Deep Space Communication Complex (CDSCC).

A Doppler compensated continuous wave signal was transmitted at near Earth asteroid targets at either 2.114 GHz (14.2cm S band) or 7.15945 GHz (4.2cm X band). Reflected echoes were received at the 64m Parkes or 6x22m Australia Telescope Compact Array (ATCA) antennas at Narrabri Australia, depending upon schedule availability of these facilities.

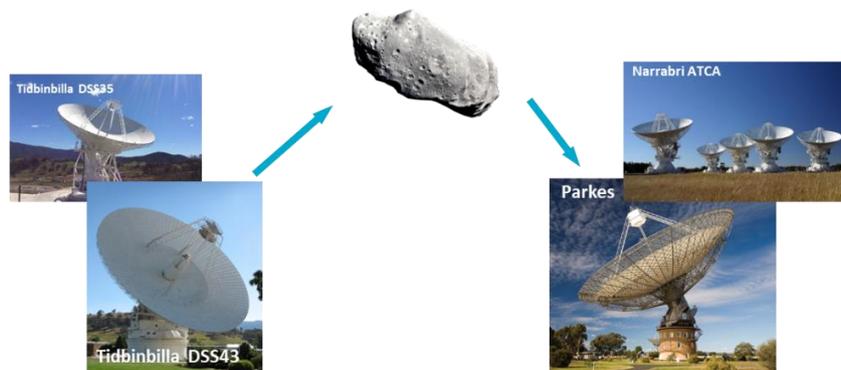


Figure 1. Antennas and telescopes in the Southern Hemisphere Asteroid Radar Program (SHARP).

The CDSCC antennas, Parkes and ATCA telescopes are not optimised for bistatic radar observations but are respectively used for deep space mission command, telemetry/ranging and radio astronomy. These facilities were however found to be adaptable [13] for the opportunity of radar observations of NEAs. Table 1 shows a summary of some of the NEAs illuminated by the SHARP.

The first target for SHARP was the asteroid 2005 UL5 selected for the GSSR at the time when SHARP entered its first check out phase. 2005 UL5 has an estimated diameter of 300m determined from optical light curves and was observed at closest approach on 20th November 2015 at a range of 0.0153 au (5 LD or Lunar distances). 2005 UL5 was illuminated with a circularly polarised Doppler compensated transmission with centre frequency of 2.114 GHz by DSS-43, the 70m antenna at CDSCC using 75 kW continuous RF power. 2005 UL5 echoes were received by the 64m Parkes radio telescope with detections recorded in dual polarisations.

A second asteroid, 1998 WT24 was illuminated similarly during its closest approach to the Earth on 11th December 2015 at a range of 0.028 au (10.9 LD). 1998 WT24 is an asteroid of

diameter 415m. Approaching Earth from the south 1998 WT24 represented an example of how a southern hemisphere planetary radar capability could enable the earlier detection of NEOs.

With the success of two NEO observations, from 2015 to 2022 SHARP [14, 15] has illuminated and tracked many NEOs ranging in diameter from 20m to 5000m at ranges of 0.1 to 18 LD. This has shown the feasibility of NEO detection by a non-dedicated southern hemisphere capability located in Australia [16].

Asteroid	Class	Date	Approximate Diameter	Range	Configuration DSS43 Tx with:
2005 UL5	Aten	2015 Nov	250 m	6 LD	Parkes & ATCA
1998 WT24	Aten	2015 Dec	400 m	11 LD	Parkes & ATCA
3122 Florence	Amor	2017 Sep	5000 m	18 LD	ATCA
2012 TC4	Apollo	2017 Oct	10 m	0.1 LD	ATCA
2002 AJ129	Apollo	2018 Feb	700 m	10 LD	ATCA
2010 WC9	Apollo	2018 May	80 m	0.5 LD	ATCA
2003 SD220	Aten	2018 Dec	700 m	7 LD	ATCA
2019 EA2	Aten	2019 Mar	30 m	0.8 LD	ATCA
2019 GC6	Apollo	2019 Apr	20 m	0.5 LD	ATCA
2019 SP3	Apollo	2019 Oct	33 m	0.97 LD	ATCA
2020 SO	Artificial	2020 Nov	10 m	0.5 LD	ATCA
2020 XX3	Apollo	2020 Dec	7 m	0.15 LD	ATCA

Table 1. Selection of NEA targets illuminated by the SHARP 2015-2020 (15)

In March 2021, the University of Tasmania (UTAS) joined SHARP operations with the observation of asteroids and space debris. UTAS operates a continent-wide array of radio telescopes including three 12m, a 26m and a 30m dishes. UTAS has conducted a total of 17 observations between 2021 and 2022. While the collecting area of the 12m antennas is significantly smaller than ATCA or Parkes, the UTAS antennas offer diversity in geographic location and availability. Detections of 1994 PC1 and 2003 SDA asteroids were achieved using the 12m dishes and the Spacecraft Doppler tracking software [17].

Augmentation of Bistatic Radar using Modest Aperture Optical Telescopes

For many years northern hemisphere optical observatories (Catalina, Pan-STARRS, Atlas and Linear) [1] have tracked and observed asteroids effectively. A southern hemisphere widefield optical system such as at the Siding Spring Observatory has participated at times in NEO detection but not routinely.

The relocation of the 3.4m DARPA Space Surveillance Telescope (SST) once located in the northern hemisphere at White Sands USA, to Exmouth in Western Australia, may hold further optical tracking options. In 2020 the NASA and US DoD signed an MOU related to the use of the SST for NEO observations [18]. The SST was previously found to be very effective at NEO detection [19].

In 2022 we began a program of augmenting SHARP observations with rapidly acquirable sub-metre-class 0.3-0.5m optical apertures such as those managed by UNSW. The target set was

exclusively Apollo class NEOs as shown in table 2 because of their Earth orbit crossing nature and classification as potentially hazardous asteroids (PHAs).

UNSW manages two sub-metre class wide field optical telescopes (Falcon and Viper). The USAFA-led Falcon Telescope Network includes a node in Canberra operated by UNSW Canberra [20]. The telescope, designed for Space Situational Awareness, is a 0.5m f8.1 main telescope with a 4m focal length. This is accompanied by an Astrotech AT106 0.1m f6.5 refractive viewfinder telescope with focal length 690mm. The main telescope is equipped with a standard filter wheel with common spectral filters and a 100 lp/mm diffraction grating for slit-less spectroscopy. The currently installed cameras on both telescopes are cooled, Atik 414ex (SONY ICX825 sensor with 1380x1040 6.46 μ m square pixels) with an option to swap in a CeleX5 Event Based Image Sensor for high temporal rate observations. The pixel field of view from the main telescope is 1.6 μ rad, and 6.5 μ rad in the viewfinder. Observations can be automatically or manually operated.



Figure 2. UNSW managed Falcon Telescope part of the USAFA Falcon Network.

The VIPER observatory [21] consists of a Celestron 36cm Rowe-Ackermann Schmidt Astrograph (f/2.2) on a Software Bisque "Paramount MX+" GEM robotic telescope mount. The detector is a Ximea CB120MG-CM-X8G3 monochrome CMOS camera, with a resolution of 4096x3072 pixels (12.5 Mpixels) and pixel scale of 1.44 arcsec/px, providing a wide field capture of over a degree of sky per frame. The camera is directly connected, via a high-speed PCIe bus, to an NVIDIA Jetson TX2 system on a chip (SOC) (mounted atop the optical telescope assembly), enabling the use of innovative AI-at-the-edge and GPU image processing algorithms.

Extended optical observations were also supported by the Perth Observatory in Western Australia using a Celestron C-14 telescope in sidereal track, through colleagues at the University of Western Australia.

We also include observers in New Zealand using a 0.35m f11 telescope which added the further value of geographic diversity and the utility of synchronised small agile optical telescopes to augment the SHARP bistatic radar.

Observations

During January to July 2022, the southern hemisphere asteroid radar program (SHARP) observed five NEO's together with the family of sub-metre optical telescopes indicated in table2.

NEA	Class	Date (DOY)	Approx Diameter [m]	Range [LD]	SHARP Configuration	Abs Vis Mag	Optical Scope
2001 CB21	Apollo	4Mar 2022 (063)	500-1200	12.8	CDSCC-34m Xband Tx/ATCA Rx	18.5	Falcon 0.5m (Clouded)
2008 AG33	Apollo	27Apr 2022 (117)	300-800	8.4	CDSCC-70m Xband Tx/ATCA Rx +UTAS Rx	19.4	Falcon 0.5m Perth C-14
2012 UX68	Apollo	15May 2022 (135)	30-120	2.5	CDSCC-70m Xband Tx/ATCA Rx +UTAS Rx	24.2	Falcon 0.5m (Clouded) NZ 0.35m
1989 JA	Apollo	27-28 May 2022 (147-148)	1800	10.5	CDSCC-70m Xband Tx/ATCA Rx +UTAS Rx	17.8	Falcon 0.5m incl. Spectroscopy
2022 LV	Apollo	25Jun 2022 (176)	20-40	2.0	CDSCC-70m Xband Tx/ATCA Rx +UTAS Rx	25.3	Magnitude beyond limits

Table 2. NEA targets illuminated by the SHARP 2022

Radar data was received as 8bit unsigned integers with the two polarisations (SC/OC) interleaved. To derive quantitative and qualitative information about the Asteroid's properties a Bistatic Long Baseline Array (LBA) processor coded in Python was utilised to produce echo power spectra. Data was analysed using the well known Radar Equation 1 and the Doppler bandwidth Equation 2 to determine NEA diameter, polarisation (SC/OC) ratio and rotational period.

$$P_{rx} = P_{tx} G_{tx} G_{rx} \lambda^2 \sigma / (4\pi)^3 R^4 \quad (1)$$

Where P_{rx} is the receive power, P_{tx} is the transmit power, G_{rx} is the receive antenna gain, G_{tx} is the transmitter antenna gain, λ is the radar wavelength, σ is the radar cross-section and R is the range.

$$B = 4\pi D(\phi) \cos(\delta) / \lambda P_{rot} \quad (2)$$

where B is the Doppler bandwidth, $D(\phi)$ is the asteroid diameter in km at a phase of ϕ , $\cos(\delta)$ is the sub-radar latitude, λ is the echo wavelength and P_{rot} is the asteroid rotational period [22].

2001 CB21 4 March SHARP Configuration (DSS-36 Tx, ATCA Rx) 3hrs

2001 CB21 orbits the Sun every 384 days (1.05 years), ranging between 0.69-1.38 AU from the Sun with an optically estimated diameter of 0.523-1.170 km [23]. 2001 CB21 was observed for 3 hrs during close approach on 4th March 2022 with SHARP using DSS-36 a 34m CDSCC antenna transmitting at 20kW X band in Doppler compensated continuous wave mode and received at ATCA.

Echo spectral data showed irregular data with significant variations in received power, a very narrow return and circular polarisation ratio of 1.1 suggesting possible data contamination with radio frequency interference (RFI). This observation was therefore discounted and not shown here.

2001 CB21 was also observed by the Falcon 0.5m telescope but weather hindered any significant capture

2008 AG33 27 April SHARP Configuration (DSS-43 Tx, ATCA Rx) 5hrs

2008 AG33 orbits the Sun every 643.5 days (1.77 years), ranging between 0.97-1.95 AU from the Sun with an optically estimated diameter of between 0.35 to 0.79 km [24]. 2008 AG33 was observed for 5 hrs during close approach on 27th April 2022 with SHARP using DSS-43 the 70m antenna at CDSCC at 20kW X band in Doppler compensated continuous wave mode and received by the ATCA.

An example of echo spectral data processed using a coherent integration period (CPI) of 10 s and non-coherent integration period (IPI) of 290 min is shown in Figure 3. With constant transmit power and synchronised reception, the echo spectra was found to vary over the observation period suggesting the rotation of a non-circular shaped asteroid. Calculations from the Doppler broadening indicate a diameter of $D = 630\text{m} \pm 140\text{m}$ and a circular polarisation ratio (SC/OC) of 0.3.

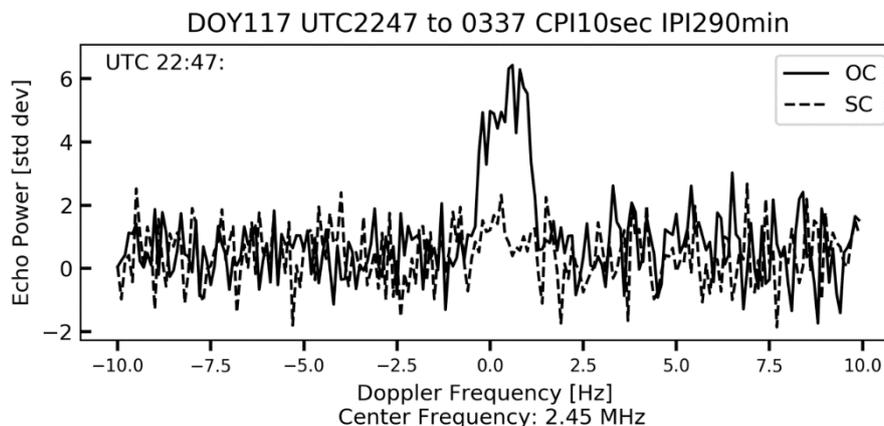


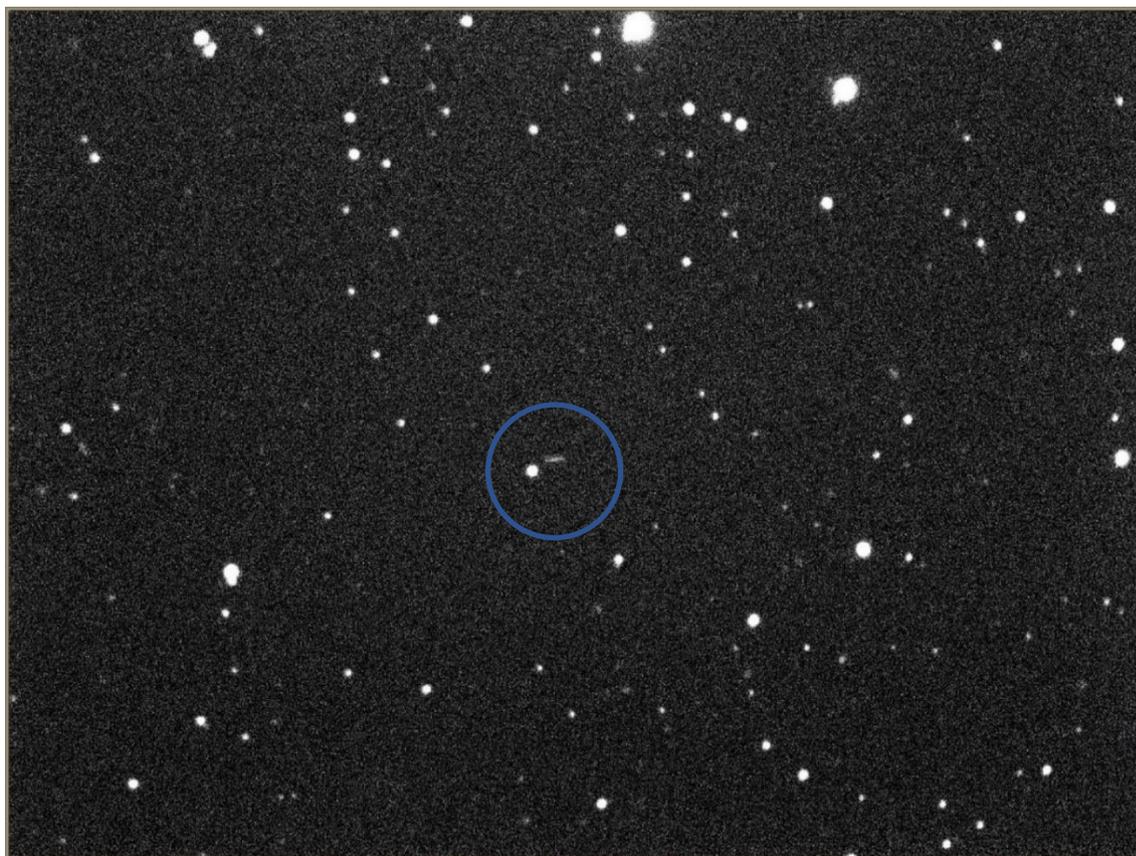
Figure 3 Echo spectra for 2008 AG33 with CPI 10s and IPI 290 mins

2008 AG33 Optical

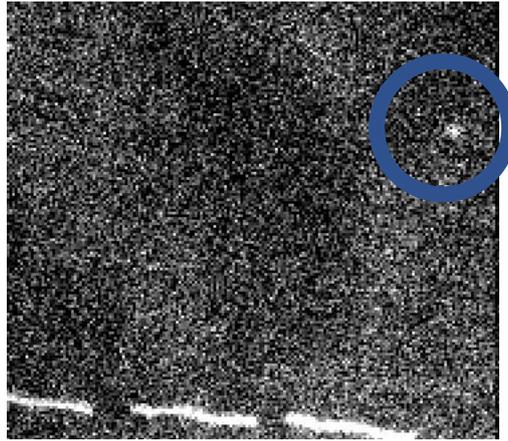
2008 AG33 was observed optically by the UNSW Falcon 0.5m telescope and the University of Western Australia (UWA) using the Perth Observatory C-14 Schmidt Cassegrain telescope. Poor weather in Canberra at the Falcon telescope delayed the observation by a day.

The UWA Perth Observatory was able to observe on 4th May 2022, one week after SHARP observations. Operating in sidereal track mode, Figure 4(a) shows the C-14 image integrated for 120s, indicating the asteroid streak at the prescribed location centre of image. Figure 4(b) shows the (zoomed) stacked image from eight 200-300s exposures of the NEO using rate-tracking based on an estimate of the coordinates of the NEO.

Post alignment and stacking was required to isolate the object given the error in the estimated position, tracking motion and actual survey coordinates. The limiting apparent magnitude of these observations is 17. Astrometric solutions used intervening 60 second exposures to limit the star trails.



(a)



(b)

Figure 4: (a) UWA Perth Observatory C-14 image tracking in sidereal mode and integrated for 120s indicating asteroid 2008 AG33 streak at the predicted position centre of image (within the blue circle). (b) Falcon telescope image operating in rate-track mode captured a series of 200-300 s exposures, which are post-aligned to produce the detectable 2008 AG33 image.

2012 UX68 15th May SHARP Configuration (DSS-43 Tx ATCA Rx)

2012 UX68 orbits the Sun every 415 days (1.14 years), ranging between 0.78-1.40 AU from the Sun with an optically estimated diameter of 0.026 to 0.118 km [25]. 2012 UX68 was predicted to be at closest approach on 15th May 2022 but with large positional uncertainties having not been observed for over a decade.

Due to these positional uncertainties, the pointing knowledge of SHARP and optical telescope was considered poor with az/el uncertainties of +/- 99 degrees, with Doppler uncertainties of +/- 275 kHz, greatly exceeding the nominal Doppler compensation from the SHARP transmitter. It was therefore advised that recovery of 2012 UX68 should therefore be a task for an optical sweep sky survey.

SHARP attempted to observe 2012 UX68 on 15th May with DSS-43 70m 20 kW X band continuous wave transmission and received by ATCA and UTAS antennas, pointing at the estimated position, however an echo was not observed by either facility.

2012 UX68 Optical

The Falcon optical telescope planned to provide a sweep of the estimated position but was also not able to observe due to overcast and wet weather. Assistance was therefore requested from observers in New Zealand using a 0.35m f11 telescope located near Mt John which had clear skies. Designated R57, the NZ telescope detected a NEA with consistent characteristics and positional data (Figure 5). JPL found that it was possible to link this to 2012 UX68 with a good fit.

Uncertainties for the new positional solution for 2012 UX68 were therefore significantly reduced to +/-3 arcsec and +/- 6 Hz. This underscored the tasking agility, flexibility and value of small optical telescopes working directly with large aperture RF bi-static radar observations for NEA's to improve orbital location and therefore the PHA risk level.

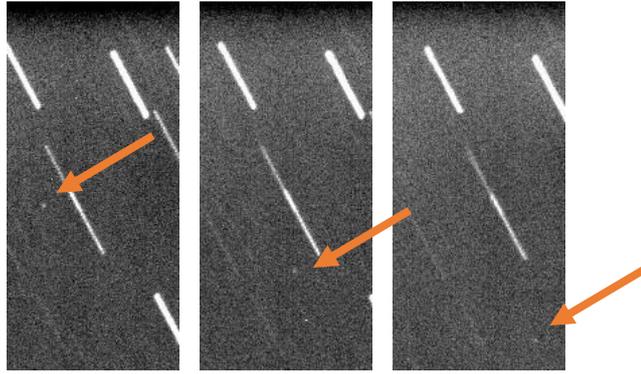


Figure 5: Imagery of 2012 UX68 provided by astronomers in New Zealand using bracketed post-stacking of images.

1989 JA 27-28 May SHARP Configuration (DSS-43 Tx, ATCA Rx)

1989 JA orbits the Sun every 862 days (2.36 years), ranging between 0.91 to 2.63 AU from the Sun with an original optically estimated diameter of 1.8 km [26]. 1989 JA was tracked over two days on the 27th/28th May with the longest track as 8 hours. 1989 JA was calculated from our data to have a diameter of 624m and an SC/OC ratio of 0.36. Diameter measurements from SHARP are smaller than the indicated optical estimates but nearer in consistency to the GSSR results of 680m [26].

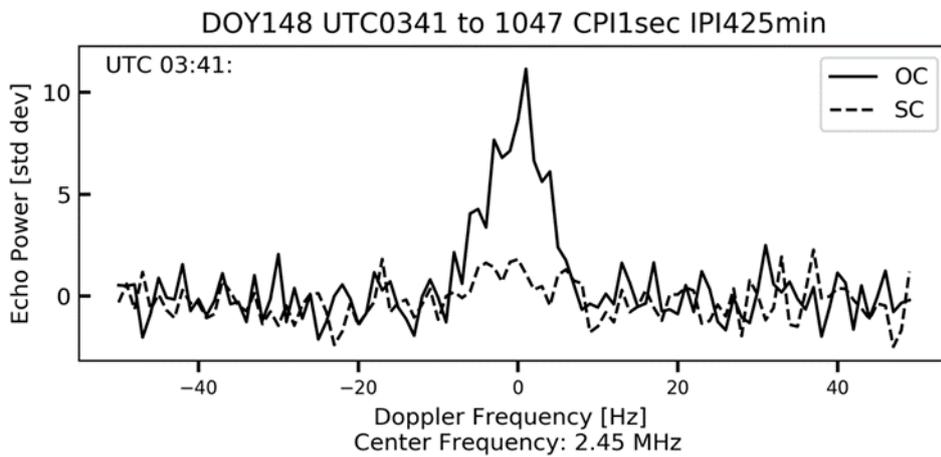


Figure 6: Echo spectra for 1989 JA with CPI 1s and IPI 425 min

Results from [26] indicate that 1989 JA is also orbited by a moon with period of 17.4hrs. Figure 7 shows six echo spectra recorded from 03:31 to 09:36 UTC DOY 148 each separated by 111mins. Whilst there is noise in the spectra, there may be subjective evidence of a small peak moving left to right. Whether this is indicative of a moon is unclear from our data but warrants the application of further research methods (e.g. advanced polarimetry).

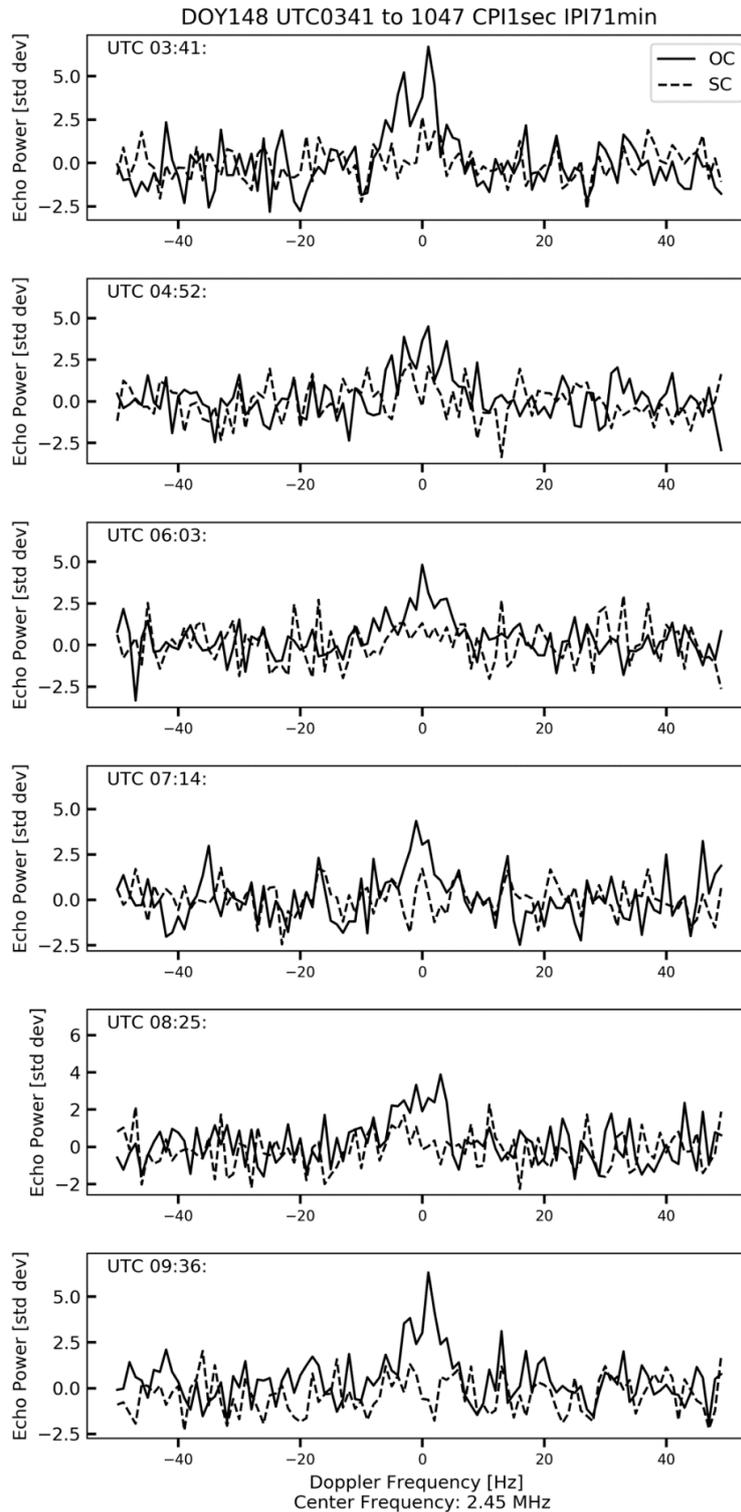


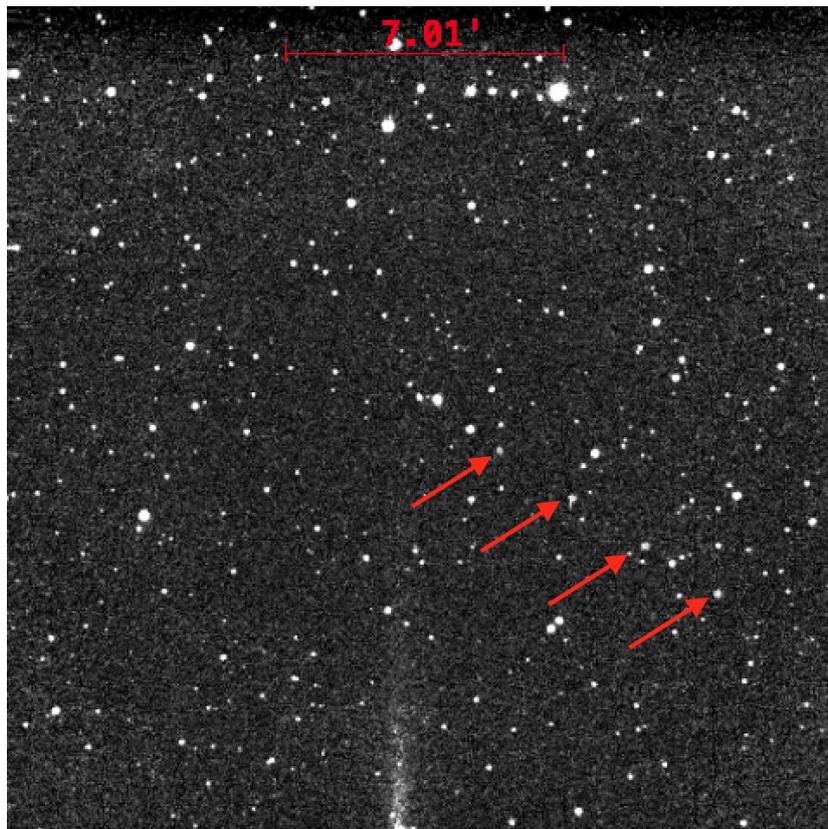
Figure 7: Six echo spectra of 1989 JA from 03:31 to 09:36 UTC DOY 148 each separated by 111mins.

1989 JA Optical

1989 JA is sufficiently close and large that several optical photometric and spectroscopic techniques were able to be employed. The Falcon main telescope is equipped with a 100 lp/mm grating to allow for slitless spectroscopy, where the zero-order carries the actual image of each

object and the first-order of the grating diffraction spreads the spectrum over the sparse extent of the sensor. Sometimes intervening objects occupy the pixels hosting first-order signal, but largely the spectrum (which should be a masked version of our own Sun's spectrum) is discernible. Photometric Sloan filters can be alternatively used in the B,V,R,I',r'g' and z' areas of the spectrum[20]. The viewfinder acts as a companion recording the full spectrum allowed by the Si detectors.

Figure 8 illustrates the spectrum reflected by 1989 JA. We expect in a similar way to engage polarimetric observations in the future with such detectable targets. Figure 8 (c) and (d) give an indication of the almost sub-arcsecond precision using modest optical telescopes.



(a)



(b)

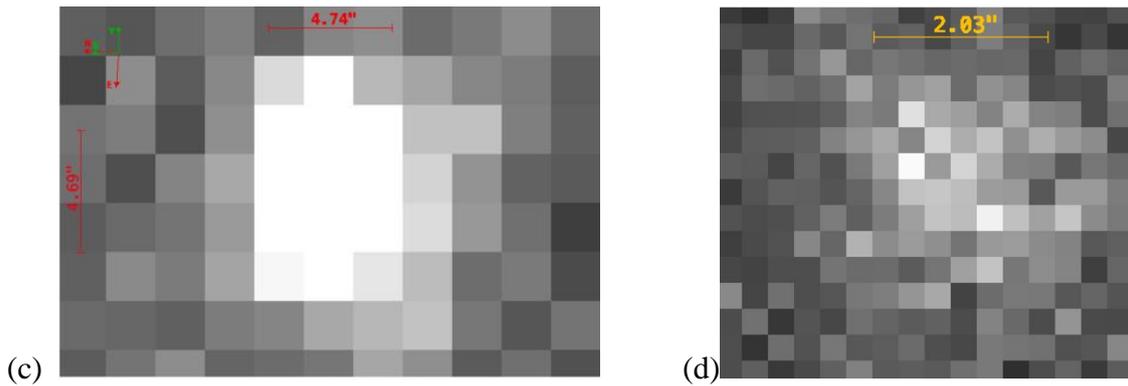


Figure 8: Optical Observations of 1989 JA. (a) Composite of images provided by the New Zealand Astronomers (b) slitless spectroscopic image from 1 second exposure from Falcon, and, the zoomed object detection in single 1 second exposure and frame averaged result from 1989 JA in the (c) Falcon viewfinder and (d) main telescope (R filter), indicating (seeing reduced) precision provided by optical telescopes.

2022 LV 25th June SHARP Configuration (DSS-43 Tx, ATCA Rx)

2022 LV orbits the Sun every 452 days (1.24 years), ranging between 0.98 to 1.33 AU from the Sun with an optically estimated diameter of 0.017 to 0.038km [27]. 2022LV was observed on 25th and 26th June 2022 for 6hrs using the SHARP DSS-43 to ATCA configuration. Echo spectra were found to be relatively constant over the observing period. Calculations indicate an asteroid diameter of $D = 19.8 \pm 1.8\text{m}$. 2022LV data presented a circular polarisation ratio (SC/OC) of 0.22.

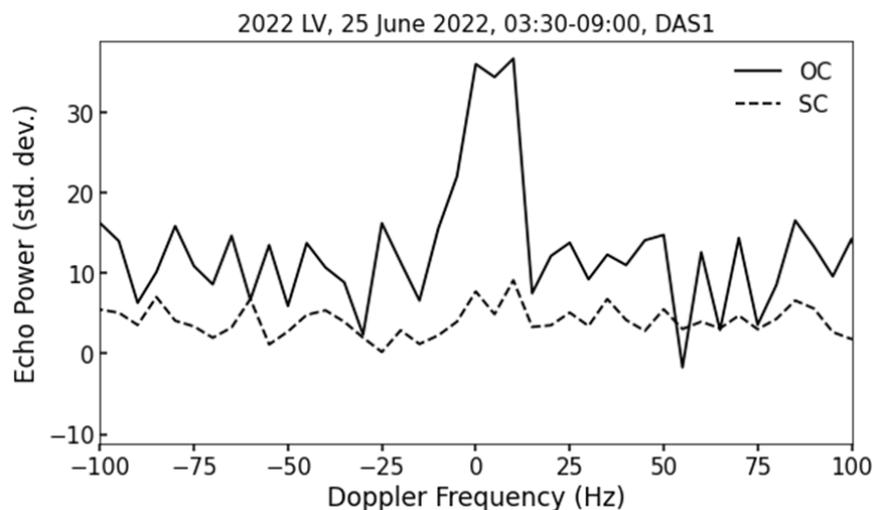


Figure 9: Echo spectra for 2022 LV with CPI 10s and IPI 360 min

2022 LV Optical

The indicative magnitude 26 for 2022 LV indicated that optical observations with the sub metre optical telescopes described were unlikely to be successful for observing 2022 LV however attempts were made rate-tracking with the Falcon telescope with a potential object detected (Figure 10).

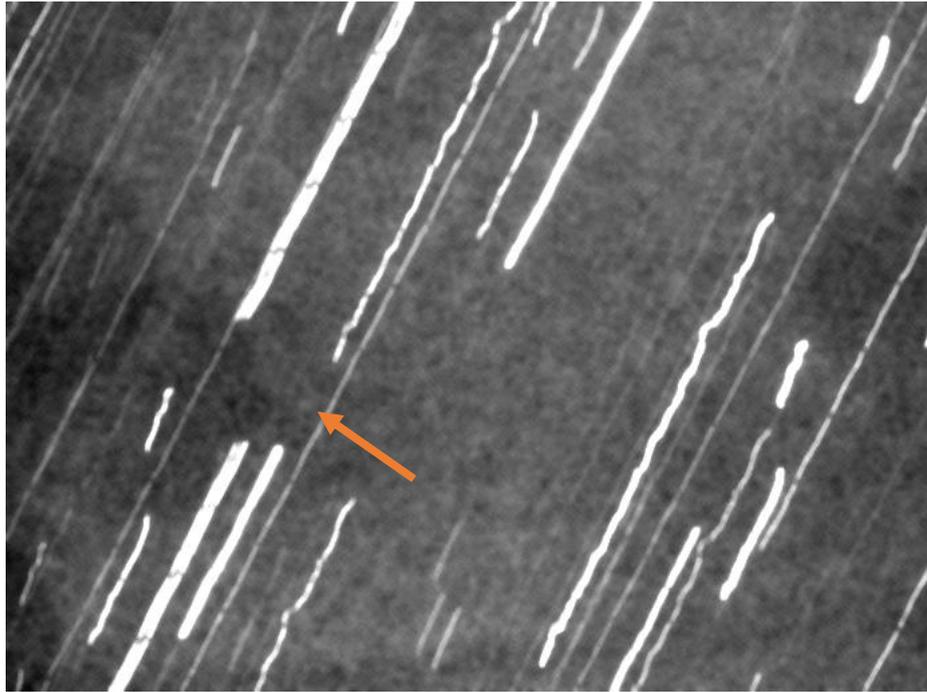


Figure 10: 2022 LV was rate-tracked with 100 s exposures. This is the sum of 56 frames, with a potential but unconfirmed candidate shown by the arrow.

Future work and targets for Southern Hemisphere NEO observations

Leveraging the progress from the NEO campaign in the first half of 2022, we propose continuing with SHARP observations in the latter part of 2022 and early 2023 including:

- Future NEO target planning for radar bistatic and small optical telescopes
- Development of Stokes Vector Analysis with existing radar bistatic NEO data
- Testing new optical sensors including Event Based Systems (EBS)
- Translation of asteroid target interpretations to human made resident space objects

New NEO targets are proposed for the latter half of 2022 and early 2023 including further Apollo and Aten class asteroids 2005 LW3, 2015 RN35, 2014 HK129, 2010 XC15, 2011AG5 and 2005YY128. Bids for host country time for CDSCC and ATCA are proposed. The Falcon 0.5m, Viper 0.4m, UWA Zadko 1.0m, Perth Observatory C-14 and NZ 0.35m small aperture optical telescopes are proposed to support SHARP observations. In addition the UTAS array of radio telescopes at Katherine (NT) and Hobart (TAS), including three (12m, 26m and 30m) antennas, will also join the campaign.

Asteroid class and composition has been shown to be correlated to circular polarisation ratios [28] and leads to insights related to the formation and histories of the object. Advanced polarimetry using Stokes vector decomposition has resulted in further characterisation of physical properties including shape [29], ice content [30], surface roughness (eg boulders) and viewing geometry [31]. Stokes parameters provide an alternative description of the polarisation state of NEO echoes and is experimentally convenient because each parameter corresponds to a sum or difference of measurable complex values. We propose to examine the values of I, Q, U and V polarisation properties and attempt to compare to NEO characteristics including

surface characteristics, spin state, surface materials and ice content. The feasibility of this type of research has been considered [32] with promising results.

Summary

The SHARP bistatic radar program completed a further five observations in 2022 augmented by modest optical telescopes to provide additional information on the characteristics of NEO's. We found that when the NEO was well known in orbital position, radar observations from SHARP could be used to refine astrometry, indicate diameter, polarization ratio and infer rotation. Where NEO positions presented with large uncertainties (e.g. 2008 UX68), we showed that the use of a small aperture optical telescope allowed a rapid local sky survey to re-discover and update the NEO orbital position with much improved uncertainty.

Whilst there is developing promise for larger aperture optical engagement in NEO detection from the Southern hemisphere, rapidly acquirable sub-metre class 0.3-0.5m diameter optical instruments working with the bistatic radar of SHARP offers progress toward developing a vibrant Australian capability and building a wider community of NEO observers in the southern hemisphere.

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